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PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

23304

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371**

US. Application No. (if known)

10/536777

INTERNATIONAL APP. NO.

PCT/EP2003/050712

INTERNATIONAL FILING DATE

13 October 2003

PRIORITY DATE CLAIMED

27 November 2002

TITLE OF INVENTION

AGENT HAVING A DESTRUCTIVE EFFECT ON MALIGNANT TUMORS AND

APPLICANT(S) FOR DO/EO/US

Karl GROKE (deceased) et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

RECEIVED
 - 7 JUL 2006
 Legal Staff
 International Division

US Application no (if known)
10/536777

International Application no.
PCT/EP2003/050712

Attorney's Docket No.

23304

RECEIVED PCT/PTO 09 MAY 2006

17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JP \$950.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) \$750.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)
but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00

Neither int'l prel. exam fee (37 CFR 1.482) nor
int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO \$1000.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Art. 33(2-4) \$200.00

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$65

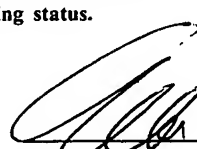
CLAIMS	NO. FILED	NO. EXTRA	RATE	
Total claims				
Ind. claims				
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)				
TOTAL OF ABOVE CALCULATIONS			\$130	
Reduction of 1/4 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)			\$65	
SUBTOTAL			\$65	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
TOTAL NATIONAL FEE			\$65	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)			\$40	
TOTAL FEES ENCLOSED			\$105	
65.00 OP			Amt to be refunded	
			Amt to be charged	

- a. ☐ A check in the amount of **\$32.5** to cover the above fees is enclosed
b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.
c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed
e. ☒ A PTO-2038 in the amount of **\$40** to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:


The Firm of Karl F. Ross P.C.
5676 Riverdale Ave. Box 900
Riverdale (Bronx), NY 10471


Andrew Wilford, Reg. No. 26,597

Atty's 23304

Pat. App. 10/536,777

Respectfully submitted,
The Firm of Karl F. Ross P.C.


by: Andrew Wilford, 26,597
Attorney for Applicant

3 May 2006
5676 Riverdale Avenue Box 900
Bronx, NY 10471-0900
Cust. No.: 535
Tel: (718) 884-6600
Fax: (718) 601-1099
rg
Enclosure: PTO-2038

23304

Rec'd PCT/PTO 03 MAY 2006

Certificate of Express or First-Class Mailing
I hereby certify that I have deposited this correspondence with the US Postal Service as first-class or, if a mailing label number is given below, as express mail addressed to Comm. of Patents, Box 1450, Alexandria, VA 22313-1450

IN THE U.S. PATENT AND TRADEMARK OFFICE

on

MAY - 3 2006

Inventor

Karl GROKE (deceased) et al

Patent App.

10/536,777 (US Nat'l phase of
PCT/EP2003/050712)

EV790894975

Filed

26 May 2005

Conf. No. 2869

For

AGENT HAVING A DESTRUCTIVE EFFECT ON MALIGNANT
TUMORS AND

Hon. Commissioner of Patents

Box 1450 Alexandria, VA 22313-1450

RECORD OF TRANSMITTAL--PCT APPLICATION

- ☒ PCT Transmittal
- ☐ PCT Application
- ☐ Translation
- ☐ Sheets of Drawing (0)
- ☒ PCT Declaration with Certificate of Inheritance
- ☒ PCT Documents
- ☐ International Search Report
- ☐ Preliminary Amendment
- ☒ Assignment (with PTO-1595 and sep. PTO-2038)
- ☒ Extension Request (with separate PTO-2038 for fee)
- ☒ PTO-2038 for Official Fees

☒ Late filing

\$65.00

Total

\$65.00

05/08/2006 GFREY1 00000103 10536777

02 FC:8021

Please charge any fees not covered by an enclosed PTO-2038 to
account 18-2025 of the undersigned.

3 May 2006

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Bronx, NY 10471-0900
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Customer No. 535
rg

Respectfully submitted,
The Firm of Karl F. Ross P.C.


Andrew Wilford, Reg. 26,597



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/536,777	Karl Groke	23304

INTERNATIONAL APPLICATION NO.

PCT/EP03/50712

I.A. FILING DATE	PRIORITY DATE
10/13/2003	11/27/2002

535
THE FIRM OF KARL F ROSS
5676 RIVERDALE AVENUE
PO BOX 900
RIVERDALE (BRONX), NY 10471-0900

CONFIRMATION NO. 2869

371 FORMALITIES LETTER



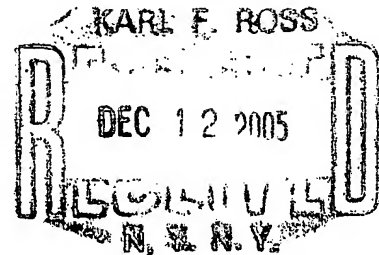
OC000000017586394

Date Mailed: 12/09/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/26/2005
- English Translation of the IA filed on 05/26/2005
- Copy of the International Search Report filed on 05/26/2005
- Copy of IPE Report filed on 05/26/2005
- Preliminary Amendments filed on 05/26/2005
- Information Disclosure Statements filed on 05/26/2005
- U.S. Basic National Fees filed on 05/26/2005
- Priority Documents filed on 05/26/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,777	PCT/EP03/50712	23304